

## List of BS MOU Deficiency Codes<sup>1</sup>

As of 01 July 2018

Code	Defective item		
01 - Certificat	01 - Certificate & Documentation		
011 –	Certificate & Documentation – Ship Certificates		
01101	Cargo Ship Safety Equipment (including exemption)		
01102	Cargo Ship Safety Construction (including exempt.)		
01103	Passenger Ship Safety (including exemption)		
01104	Cargo Ship Safety Radio (including exemption)		
01105	Cargo Ship Safety (including exemption)		
01106	Document of Compliance (DoC/ ISM)		
01107	Safety Management Certificate (SMC/ ISM)		
01108	Load Lines (including Exemption)		
01109	Decision-Support System for Masters on Pass. Ships		
01110	Authorization for grain carriage		
01111	Liquefied Gases in Bulk (CoF/GC Code)		
01112	Liquefied Gases in Bulk (ICoF/IGC Code)		
01113	Minimum Safe Manning Document		
01114	Dangerous Chemicals in Bulk (CoF/BCH Code)		
01115	Dangerous Chemicals in Bulk (ICoF/IBC Code)		
01116	Operational Limitations for Passenger ships		
01117	International Oil Pollution Prevention (IOPP)		
01118	Pollution Prevention by Noxious Liquid Sub in Bulk		
01119	International Sewage Pollution Prevention Cert.		
01120	Statement of Compliance CAS		
01121	Interim Statement of Compliance CAS		
01122	International ship security certificate		
01123	Continuous synopsis record		
01124	International Air Pollution Cert.		
01125	Engine International Air Pollution Prev. Cert.		
01126	Document of Compliance Dangerous Goods		
01127	Special Purpose Ship Safety		
01128	High Speed Craft Safety and Permit to Operate		
01129	Mobile Offshore Drilling Unit Safety		
01130	INF certificate of fitness		
01131	International Anti-fouling-System Certificate		

 $<sup>^{\</sup>rm 1}$  BS MOU assumes no liability or responsibility for any errors or omissions.



Code	Defective item	
01132	Tonnage certificate	
01133	Civil Liability for Oil Pollution Damage Certificate	
01134	Polar Ship Certificate	
01135	Documents for carriage of dangerous goods	
01136	International Ballast Water Management Certificate	
01138	International Energy Efficiency Certificate	
01139	Maritime Labour Certificate	
01140	Declaration of Maritime Labour Compliance (part I and II)	
01199	Other (certificates)	
012 -	Certificate & Documentation - Crew Certificates	
01201	Certificates for master and officers	
01202	Certificate for rating for watchkeeping	
01202	Certificates for radio personnel	
01203	·	
01204	Certificate for personnel on tankers	
	Certificate for personnel on fast rescue boats  Certificate for advanced fire fighting	
01206 01209	Certificate for advanced fire-fighting  Manning appointed by the minimum sets manning dea	
	Manning specified by the minimum safe manning doc  Certificate for medical first aid	
01210		
01211 01212	Cert for personnel on survival craft & rescue boat  Certificate for medical care	
01213 01214	Evidence of Basic Training Endorsement by flag State	
01214	, ,	
01215	Application for Endorsement by flag State  Cartificate for personnal on china subject to the ICE Code	
01217	Certificate for personnel on ships subject to the IGF Code Ship Security Officer Certificate	
01217	Medical certificate	
	Training and qualification MLC - Personal safety training	
01219 01220	Seafarers' employment agreement (SEA)	
01220	Record of employment	
01221	Doc evidence for personnel on passenger ships	
01223	Security awareness training	
01223	Certificate for rating able seafarer deck/engine and electro-technical	
01299	Other (STCW)	
01299	Other (STOW)	
013-	Certificate & Documentation – Documents	
01302	SAR Co-operation plan for pass. ships trade on fixed route	
01303	Unattended Machinery Spaces (UMS) Evidence	
01304	Declaration of AFS compliance	
01305	Log-books/compulsory entries	
01305	Log-books/compulsory entries	



Code	Defective item
01306	Schedules for watchkeeping personnel
01307	Tables of working hours
01308	Records of rest
01309	Fire control plan - all
01310	Signs, indications
01311	Survey report file
01312	Thickness measurement report
01313	Booklet for bulk cargo loading/unloading/stowage
01314	SOPEP
01315	Oil record book
01316	Cargo information
01317	Cargo record book
01318	P&A manual
01319	Shipboard mar. poll. emergency plan for NLS
01320	Garbage record book
01321	Endorsement of cargo booklet
01322	Conformance Test Report
01323	Fire safety operational booklet
01324	Material Safety Data Sheets (MSDS)
01325	ACM statement of compliance (including exemption)
01326	Stability Information Booklet
01327	Energy Efficiency Design Index File
01328	Ship Energy Efficiency Management plan
01329	Report of inspection on MLC, 2006
01330	Procedure for complaint under MLC, 2006
01331	Collective bargaining agreement
01332	AIS test report
01333	Ship specific plans for the recovery of persons from the water
01334	STS Operation Plan and Records of STS Operations
01335	Polar Water Operational Manual
01336	Certificate or documentary evidence of financial security for repatriation
01337	Certificate or documentary evidence of financial security relating to shipowners liability
02 -	Structural Conditions
02101	Closing devices/watertight doors
02102	Damage control plan
02103	Stability/strength/loading information and instruments
02104	Information on the A/A-max Ratio (Roro/pass.only)
02105	Steering gear



Code	Defective item
02106	Hull damage impairing seaworthiness
02107	Ballast, fuel and other tanks
02108	Electrical installations in general
02109	Permanent means of access
02110	Beams, frames, floors-op.damage
02111	Beams, frames, floors-corrosion
02112	Hull - corrosion
02113	Hull - cracking
02114	Bulkhead -corrosion
02115	Bulkheads - operational damage
02116	Bulkheads - cracking
02117	Decks - corrosion
02118	Decks - cracking
02119	Enhanced survey programme (ESP)
02220	Marking of IMO number
02121	Cargo area segregation
02122	Openings to cargo area, doors, scuttles
02123	Wheelhouse -door -window
02124	Cargo pump room
02125	Spaces in cargo areas
02126	Cargo tank vent system
02127	Safe access to tanker bows
02129	Bulkhead strength
02130	Triangle mark
02132	Water level detectors on single hold cargo ships
02133	Asbestos containing materials
02134	Loading/Ballast condition
02199	Other (Structure condition)
03 -	Water/Weathertight conditions
03101	Overloading
03102	Freeboard marks
03103	Railing, gangway, walkway and means for safe passage
03104	Cargo & other hatchways
03105	Covers (hatchway-, portable-, tarpaulins, etc.)
03106	Windows, side scuttles and deadlights
03107	Doors
03108	Ventilators, air pipes, casings
03109	Machinery space openings
03110	Manholes/flush scuttles



Code	Defective item	
03111	Cargo ports and other similar openings	
03112	Scuppers, inlets and discharges	
03113	Bulwarks and freeing ports	
03114	Stowage incl. uprights, lashing, etc. (timber)	
03199	Other (load lines)	
04 -	Emergency Systems	
04101	Public address system	
04101	Emergency fire pump and its pipes	
04103	Emergency, lighting, batteries and switches	
04104	Low level lighting in corridors	
04105	Location of emergency installations	
04106	Emergency steering position com./ compass reading	
04107	Emergency towing arrangements and procedures	
04108	Muster list	
04109	Fire drills	
04110	Abandon ship drills	
04111	Damage control plan	
04112	Shipboard Marine Pollution emergency operations	
04113	Water level indicator	
04114	Emergency source of power - Emergency generator	
04115	Safe areas	
04116	Means of communication between safety centre and other control stations	
04117	Functionality of Safety Systems	
04118	Enclosed space entry and rescue drills	
05 -	Radio Communications	
05101	Distress messages: obligations and procedures	
05102	Functional requirements	
05103	Main installation	
05104	MF Radio installation	
05105	MF/HF Radio installation	
05106	INMARSAT ship earth station	
05107	Maintenance/duplication of equipment	
05108	Performance standards for radio equipment	
05109	VHF radio installation	
05110	Facilities for reception of marine safety inform.	
05111	Satellite EPIRB 406MHz/1.6GHz	
05112	VHF EPIRB	
05113	SART/AIS-SART	



Code	Defective item
05114	Reserve source of energy
05115	Radio log (diary)
05116	Operation/maintenance
05118	Operation of GMDSS equipment
05199	Other (radio communication)
06 -	Cargo operations including equipment
06101	Cargo Securing Manual
06102	Grain
06103	Other cargo/timber/deck/construction
06104	Lashing material
06105	Atmosphere testing instruments
06106	Cargo transfer - Tankers
06107	Cargo operation
06108	Cargo density declaration
06109	Loading instrument
06199	Other (cargo)
07 -	Fire safety
07101	Fire prevention structural integrity
07102	Inert gas system
07103	Division - decks, bulkheads and penetrations
07104	Main Vertical zone
07105	Fire doors/openings in fire-resisting divisions
07106	Fire detection and alarm system
07107	Fire patrol
07108	Ready availability of firefighting equipment
07109	Fixed fire extinguishing installation
07110	Firefighting equipment and appliances
07111	Personal equipment for fire safety
07112	Emergency Escape Breathing Device and disposition
07113	Fire pumps and its pipes
07114	Remote Means of control (opening, pumps, ventilation, etc.) Machinery spaces
07115	Fire-dampers
07116	Ventilation
07117	Jacketed high pressure lines and oil leakage alarm
07118	International shore-connection
07120	Means of escape
07121	Crew alarm
07122	Fire control plan



Code	Defective item
07123	Operation of Fire protection systems
07124	Maintenance of Fire protection systems
07125	Evaluation of crew performance (fire drills)
07126	Oil accumulation in engine room
07199	Other (fire safety)
08 -	Alarms
08101	General alarm
08102	Emergency signal
08103	Fire alarm
08104	Steering gear alarm
08105	Engineers' alarm
08106	Inert gas alarm
08107	Machinery controls alarm
08108	UMS - alarms
08109	Boiler alarm
08110	Closing water-tight doors alarm
08199	Other (alarms)
09 -	Working and Living Conditions
<u>091 -</u>	Working and Living Conditions - Living Conditions
09101	Minimum age
09102	Dirty, parasites
09103	Ventilation (Accommodation)
09103	Ventilation (Accommodation)
09104	Heating
09105	Noise
09106	Sanitary Facilities
09107	Drainage
09108	Lighting (Accommodation)
09108	Lighting (Accommodation)
09109	Pipes, wires (insulation)
09110	Electrical devices
09111	Sickbay
09112	Medical Equipment
09113	Access/structure
09114	Sleeping room
09115	No direct openings into sleeping rooms cargo/mach.
09116	Furnishings
09117	Berth dimensions, etc.



Code	Defective item
09118	Clear head
09119	Mess room (location)
09120	Clothes locker
09121	Laundry
09122	Record of inspection (Accommodation)
09124	Galley, handling room (maintenance)
09127	Cleanliness
09128	Provisions quantity
09129	Provisions quality
09130	Water, pipes, tanks
09131	Cold room
09132	Cold room temperature
09133	Cold room cleanliness
09134	Food personal hygiene
09135	Food temperature
09136	Food segregation
09137	Record of inspection
09138	Other (crew and accommodation)
09199	Other (food)
<u>092 -</u>	Working and Living Conditions - Working Conditions
09201	Ventilation (Working spaces)
09202	Heating
09203	Lighting (Working spaces)
09204	Safe means of access
09205	Safe means of access Shore - Ship
09206	Safe means of access Deck - hold/tank, etc.
09207	·
	Obstruction/slipping, etc.
09208	Obstruction/slipping, etc. Protection machinery
09208 09209	., -
	Protection machinery
09209	Protection machinery Electrical
09209 09210	Protection machinery  Electrical  Machinery
09209 09210 09211	Protection machinery  Electrical  Machinery  Steam pipes and pressure pipes
09209 09210 09211 09212	Protection machinery  Electrical  Machinery  Steam pipes and pressure pipes  Danger areas
09209 09210 09211 09212 09213	Protection machinery  Electrical  Machinery  Steam pipes and pressure pipes  Danger areas  Gas instruments
09209 09210 09211 09212 09213 09214	Protection machinery  Electrical  Machinery  Steam pipes and pressure pipes  Danger areas  Gas instruments  Emergency cleaning devices
09209 09210 09211 09212 09213 09214 09216	Protection machinery  Electrical  Machinery  Steam pipes and pressure pipes  Danger areas  Gas instruments  Emergency cleaning devices  Personal equipment
09209 09210 09211 09212 09213 09214 09216 09127	Protection machinery  Electrical  Machinery  Steam pipes and pressure pipes  Danger areas  Gas instruments  Emergency cleaning devices  Personal equipment  Warning notices



Code	Defective item	
09221	Entry dangerous spaces	
09223	Gangway, accommodation-ladder	
09224	Stowage of cargo	
09225	Loading and unloading equipment	
09226	Holds and tanks safety	
09227	Ropes and wires	
09228	Anchoring devices	
09229	Winches & capstans	
09230	Adequate lighting - mooring arrangements	
09232	Cleanliness of engine room	
09233	Guards - fencing around dangerous machinery parts	
09234	Night Working for seafarer under the age of 18	
09235	Fitness for duty - work and rest hours	
09236	Legal documentation on work and rest hours	
09237	Fitness for duty - intoxication	
09297	Other (working space ILO)	
09298	Other (accident prevention)	
09299	Other (mooring)	
10 -	Safety of Navigation	
10101	Pilot ladders and hoist/pilot transfer arrangements	
10102	Type approval equipment	
10103	Radar	
10104	Gyro compass	
10105	Magnetic compass	
10106	Compass correction log	
10107	Automatic radar plotting aid (ARPA)	
10109	Lights, shapes, sound-signals	
10110	Signalling lamp	
10111	Charts	
10112	Electronic charts (ECDIS)	
10113	Automatic Identification System (AIS)	
10114	Voyage data recorder (VDR)/Simplified Voyage data recorder(S-VDR)	
10115	GNSS receiver/terrestrial radio navigation system	
10116	Nautical publications	
10117	Echo sounder	
10118	Speed and distance indicator	
10119	Rudder angle indicator	
10120	Revolution counter	
10121	Variable pitch indicator	



Code	Defective item
10122	Rate-of-turn indicator
10123	International code of signals-SOLAS
10124	Life-saving signals
10125	Use of the automatic pilot
10126	Record of drills and steering gear tests
10127	Voyage or passage plan
10128	Navigation bridge visibility
10129	Navigation records
10132	Communication-SOLAS chap. 5
10133	Bridge operation
10134	HSC operation
10135	Monitoring of voyage or passage plan
10136	Establishment of working language onboard
10137	Long-range identification and tracking system (LF
10138	Bridge Navigation Watch Alarm System (BNWAS
10199	Other (navigation)
	,
11 -	Life saving appliances
11101	Lifeboats
11102	Lifeboat inventory
11103	Stowage and provision of Lifeboats
11104	Rescue boats
11105	Rescue boat inventory
11106	Fast Rescue Boats
11107	Stowage of rescue boats
11108	Inflatable liferafts
11109	Rigid liferafts
11110	Stowage and provision of liferafts
11111	Marine Evacuation System
11112	Launching arrangements for survival craft
11113	Launching arrangements for rescue boats
11114	Helicopter landing and pick-up area
11115	Means of rescue
11116	Distress flares
11117	Lifebuoys incl. provision and disposition
11118	Lifejackets incl. provision and disposition
11119	Immersion suits
11120	Anti-exposure suits
11121	Thermal Protective Aids
11122	Radio life-saving appliances
11122	Tradio ilio-saviily applialioes



Code	Defective item	
11123	Emergency equipment for 2-way comm.	
11124	Embarkation arrangement survival craft	
11125	Embarkation arrangements rescue boats	
11126	Means of recovery of life saving appliances	
11127	Buoyant apparatus	
11128	Line-throwing appliance	
11129	Operational readiness of lifesaving appliances	
11130	Evaluation, testing and approval	
11131	On board training and instructions	
11132	Maintenance and inspections	
11134	Operation of Life Saving Appliances	
11135	Maintenance of Life Saving Appliances	
11199	Other (life saving)	
12 -	Dangerous goods	
12101	Stowage/segregation/packaging dangerous goods	3
12104	Dangerous Goods Code	
12105	Temperature control	
12106	Instrumentation	
12107	Fire protection cargo deck area	
12108	Personal protection	
12109	Special requirement	
12110	Tank entry	
12112	Dangerous goods / harmful substances in pack. F	orm
12199	Other (tankers)	
13 -	Propulsion and auxiliary machinery	
13101	Propulsion main engine	
13102	Auxiliary engine	
13103	Gauges, thermometers, etc.	
13104	Bilge pumping arrangements	
13105	UMS - Ship	
13106	Insulation wetted through (oil)	
13108	Operation of machinery	
13199	Other (machinery)	
14 -	Pollution Prevention	
<u> 141 -</u>	Pollution Prevention - MARPOL Annex I	
14101	Control of discharge	
14102	Retention of oil on board	



Code	Defective item
14103	Segregation of oil and water ballast
14104	Oil filtering equipment
14105	Pumping, piping and discharge arrangements
14106	Pump room bottom Protection
14107	Oil disch. Monitoring and control system
14108	15 PPM Alarm arrangements
14109	Oil/water interface detector
14110	Standard disch. conn.
14111	SBT, CBT, COW
14112	Cow operations and equipment manual
14113	Double hull construction
14114	Hydrostatically balanced loading
14115	Condition assessment scheme
14116	Pollution report-MARPOL Annex I
14117	Ship type designation
14119	Oil and oily mixtures from machinery spaces
14120	Load, unload & clean proc. for cargo sp.(tankers)
14121	Suspected of discharge violation
14122	Oil fuel tank protection
14123	Accidental oil outflow performance
14199	Other (MARPOL Annex I)
4.40	Dell'artian Businessian MARROL Annou-II
<u>142 -</u>	Pollution Prevention – MARPOL Annex II
14201	Efficient stripping
14202	Residue disch. systems
14203	Tank washing equipm.
14204	Prohibited discharge of NLS slop
14205	Cargo heating systems - cat Y substances
14206	Vent. Procedures/equipment
14207	Pollution report-MARPOL Annex II
14208	Ship type designation
14299	Other (MARPOL Annex II)
<u> 143 -</u>	Pollution Prevention – MARPOL Annex III
14301	Packaging
14302	Marking and labelling
14303	Documentation (MARPOL Annex III)
14304	Stowage
14399	Other (MARPOL Annex III)



	Defective item
<u> 144 - </u>	Pollution Prevention – MARPOL Annex IV
14402	Sewage treatment plant
14403	Sewage comminuting and disinfecting system
14404	Sewage discharge connection
14499	Other (MARPOL Annex IV)
<u>145 -</u>	Pollution Prevention – MARPOL Annex V
14501	Garbage
14502	Placards
14503	Garbage management plan
14599	Other (MARPOL Annex V)
<u> 146 - </u>	Pollution Prevention – MARPOL Annex VI
14601	Technical files and if applicable, monitoring manual
14602	Record book of engine parameters
14603	Approval for exhaust gas cleaning system
14604	
	Bunker delivery notes  Time approval contificate of inciparater
14605	Type approval certificate of incinerator
14606	Diesel engine relating to air pollution control
14607	Quality of fuel oil
14608	Incinerator incl. operations and operating manual
14609	Volatile organic compounds in tankers
14610	Operational procedures for engines or equipment
14611	Ozone-depleting substances
14613	Approved method
14614	Sulphur oxides
14699	Other (MARPOL Annex VI)
<u> 147 -</u>	Pollution prevention - Anti Fouling
14701	AFS supporting documentation
14702	Logbook entries referring AFS
14703	Paint condition
14799	Other (AFS)
148 -	BWM Convention
14801	Ballast Water Management Plan
14802	Ballast Water Record Book
14803	Construction dates applicable for BWM
14804	Ballast Water Exchange
14805	Sediment removal
14806	Crew training and familiarization
14802 14803 14804 14805	Ballast Water Record Book Construction dates applicable for BWM Ballast Water Exchange Sediment removal



Code	Defective item
14807	Performance Standard not met
14808	Prototype ballast water treatment
14809	Conditions for exemptions
14810	Ballast Water discharge violation in port
14811	Ballast Water Management System
14899	Other BWM
15 -	ISM
15150	ISM
15101	Safety and environment policy
15102	Company responsibility and authority
15103	Designated person(s)
15104	Masters responsibility and authority
15105	Resources and personnel
15106	Shipboard operations
15107	Emergency preparedness
15108	Reports of non-conf., accidents & hazardous occur.
15109	Maintenance of the ship and equipment
15110	Documentation-ISM
15111	Company verification, review and evaluation
15112	Certification, verification and control
15199	Other (ISM)
18 -	Labour Conditions
<u> 181-</u>	Labour Conditions - Minimum requirements for seafarers
18101	Minimum age
18102	Night Working
18103	Medical fitness
18104	Recruitment and placement service
18199	Other (Minimum requirements)
4.00	
<u>182 -</u>	Labour Conditions - Conditions of employment
18201	Fitness for duty - work and rest hours
18202	Legal documentation on work and rest hours
18203	Wages
18204	Calculation and payment of wages
18205	Measures to ensure transmission to seafarer's family
18299	Other (Conditions of employment)



Code	Defective item
<u> 183 -</u>	Labour Conditions - Accommodation, recreational facilities, food and
	<u>catering</u>
18301	Noise, vibration and other ambient factors
18302	Sanitary Facilities
18303	Drainage
18304	Lighting (Accommodation)
18305	Hospital accommodation (Sickbay)
18306	Sleeping room, additional spaces
18307	No direct openings into sleeping rooms cargo/mach.
18308	Furnishings
18309	Berth dimensions, etc.
18310	Minimum headroom
18311	Messroom and recreational facilities
18312	Galley, handling room (maintenance)
18313	Cleanliness
18314	Provisions quantity
18315	Provisions quality and nutritional value
18316	Water, pipes, tanks
18317	Food personal hygiene
18318	Food temperature
18319	Food segregation
18320	Record of inspection (food and catering)
18321	Heating, air conditioning and ventilation
18322	Insulation
18323	Office
18324	Cold room, cold room cleanliness, cold room temperature
18325	Training and qualification of ship's cook
18326	Laundry, Adequate Locker
18327	Ventilation (Working spaces)
18328	Record of inspection
18399	Other (Accommodation, recreational facilities)
<u> 184 -</u>	Labour Conditions - Health protection, medical care, social security
18401	Medical Equipment, medical chest, medical guide
18402	Access to on shore medical doctor or dentist
18403	Standard medical report form
18404	Medical doctor or person in charge of medical care
18405	Medical advice by radio or satellite
18406	Medical care onboard or ashore free of charge
18407	Lighting (Working spaces)
	3 3 (



Code	Defective item
18408	Electrical
18409	Dangerous areas
18410	Gas instruments
18411	Emergency cleaning devices
18412	Personal equipment
18413	Warning notices
18414	Protection machines/parts
18415	Entry dangerous spaces
18416	Ropes and wires
18417	Anchoring devices
18418	Winches & capstans
18419	Adequate lighting - mooring arrangements
18420	Cleanliness of engine room
18421	Guards - fencing around dangerous machinery parts
18422	Asbestos fibres
18423	Preventative information
18424	Steam pipes, pressure pipes, wires (insulation)
18425	Access / structural features (ship)
18426	Exposure to harmful levels of ambiant factors
18427	Ship's occupational safety and health policies and programmes
18428	On board programme for the prevention of occupational injuries and diseases
18429	Procedure for inspection, reporting and correcting unsafe conditions and for investigating and reporting on-board occupational accidents
18430	Ship's safety committee
18431	Investigation after accident
18432	Risk evaluation, training and instruction to seafarers
18499	Other (Health protection, medical care)
99 -	Other
99101	Other safety in general
99102	Other (SOLAS operational)
99103	Other (MARPOL operational)